Linking IIS and Clinical Databases to Assess HPV Vaccine Effectiveness

Vennus Ballen, MD, MPH

New York City Department of Health and Mental Hygiene April 12, 2017



Outline

- Background of HPV-related disease
- Study Aim and Methods
- Study Results
- Conclusions



Background



HPV Infection in the US

- HPV is the most common sexually transmitted infection
 - Prevalence: 79 million cases
 - Incidence: 14 million cases/year
 - 50% are 15-24 years
- Most infections are short-lived, and resolve within 1– 2 years
- HPV-related disease results from persistent infection



Incidence of HPV-related Disease in the US

- Genital warts
 - Incidence in 2013: 404,000 cases¹
- Cervical cancer
 - Estimated annual incidence: 12,990²
- Other HPV-related cancers
 - Includes vaginal, vulvar, penile, anal, and oropharyngeal cancers
 - Estimated annual incidence: 12,000 in men; 9,000 in women³

Sources:



 ²⁰¹⁴ Sexually Transmitted Diseases Surveillance. Centers for Disease Control and Prevention. http://www.cdc.gov/std/stats14/tables/45.htm

SEER Cancer Statistics Factsheets: Cervix Uteri Cancer. National Cancer Institute. Bethesda, MD http://seer.cancer.gov/statfacts/html/cervix.html

^{3.} HPV and Cancer. Centers for Disease Control and Prevention. http://www.cdc.gov/cancer/hpv/statistics/cases.htm.

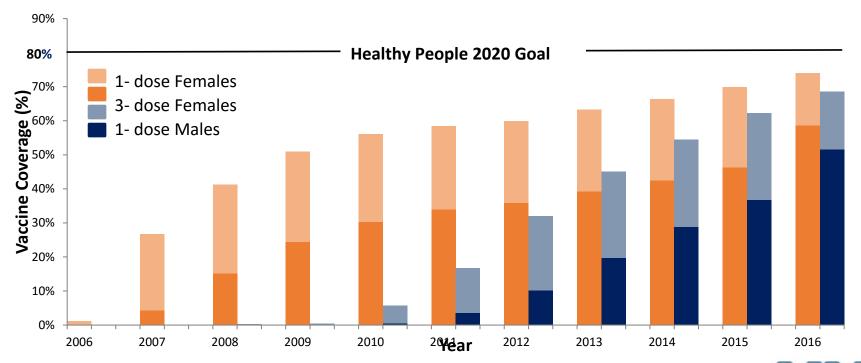
9-valent HPV Vaccine Recommendations

L1 VLP types*	6, 11, 16, 18, 32, 33, 45, 52, 58	
Recommended Age Group	Females and males 11–12 years	
	Catch-up through 26 years for females, MSM, immunocompromised	
	Catch-up through 21 years for males	
Schedule	2 or 3 dose series	

^{*}L1: Major Capsid Protein; VLP: Virus-like particle

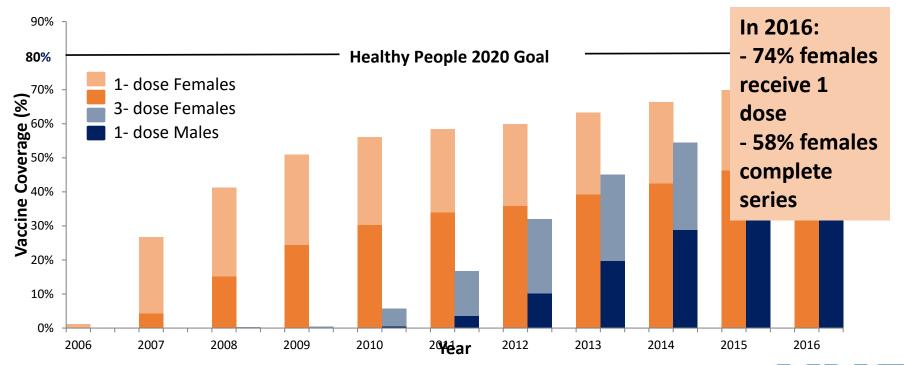


HPV Vaccine Coverage Among Females and Males 13–17 Years, NYC



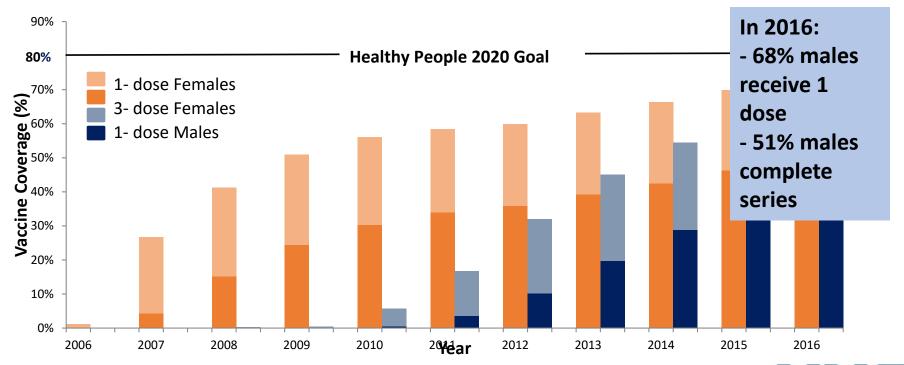


HPV Vaccine Coverage Among Females and Males 13–17 Years, NYC





HPV Vaccine Coverage Among Females and Males 13–17 Years, NYC





Early Impact of HPV Vaccine on Proximal Outcomes

- In the US
 - NHANES study
 - 64% reduction in vaccine-type HPV among females 14-19 years
 - 34% decrease among females 20-24 years¹
- In Australia
 - National school-wide vaccination program
 - 77% reduction in vaccine-type HPV prevalence among females 18-24 years
 - 93% reduction in genital warts among the females <21 years²
- In Connecticut
 - Statewide high grade cervical lesion surveillance registry
 - 17% decrease in high grade cervical lesions among women 21-24 years from 2008-2011³

Sources:

2. All et al. *Bivis.* 2013 Apr 18,346.
3. Niccolai, et al. *Cancer Epidemiol Biomarkers Prev.* 2013. Aug; 22(8); 1446-50.

^{1.} Markowitz et al. *Pediatrics*. 2016 Feb 23:137(2):e20151968.:

^{2.} Ali et al. BMJ. 2013 Apr 18:346.

Cervical Cancer Screening Recommendations, USPSTF 2012

- Pap smear every 3 years for women ages 21–65 years
- Option of pap smear and HPV-DNA testing (co-testing) every 5 years for women ages 30–65 years



Methods



Study Aim

Utilize two DOHMH data systems to examine the relationship between HPV vaccine status and proximal HPV-related outcomes in women who attended Sexual Health Clinics in NYC from 2011-2015



Data Sources

Citywide Immunization Registry (CIR)

- Available since 1997
- Mandated reporting of all immunizations given to people under 19 years since 2005
- Written or verbal consent for reporting immunizations in people 19 years and over

STD-Electronic Medical Record (STD-EMR)

- Available since 2005
- Utilized by 8 Sexual Health Clinics run by DOHMH



Study Design

Retrospective cohort

Inclusion Criteria

- Women who had a Pap smear performed at Sexual Health Clinics from 2011–2015
- Women ≤ 24 years at the time of the Pap smear
- Women whose Pap smear yielded a conclusive result



Data Linkage Process

н	•	Discussion on methodology
	•	IRB exception application submission and approval
	•	Identification of women who had a Pap smear from 2011–2015 in STD-EMR
	•	First Pap smear data used in analysis
	•	BSTDC data match to immunization records in CIR using last name, first name, middle name, DOB, gender,
		mother's maiden name, phone number, address
	•	CIR data extraction of all immunizations given
	•	STD- EMR data extraction (e.g., Pap smear results, genital warts, race/ ethnicity) followed by de-identification
		of records to create analytic dataset
	•	Final dataset refined to include only those who had immunizations after 9 years of age and women who were
		24 years and younger at time of Pap

Health

Variables Considered in Analysis

- HPV vaccination (number of doses)
- Age at HPV vaccine initiation
- Age at time of clinic visit
- Result of 1st Pap smear at clinic
- History of STIs up to date of visit
- History of genital warts up to date of visit
- Race/Ethnicity
- Poverty (Neighborhood level)



Data Linkage Results

9,912

- Initial data pulled from STD-EMR
- Number of unique women with 1+ Pap smear 2011-2015

4,197

• 42% matched to CIR

2,975

• 71% found to have immunizations after 9 years of age

2,132 (Final analytic dataset)

• 72% were 24 years and younger



Results



Patient Characteristics (1)

Age range	14- 24 years (Mean = 22 years)	
Race/ethnicity		
NH-Black, % (n)	55.5% (1,183)	
Hispanic, % (n)	33.5% (715)	
NH-Other, % (n)	5.0% (107)	
NH-White, % (n)	3.0% (65)	
Asian, % (n)	2.9% (62)	
Census Tract Poverty Level *		
30 – 100% (very high poverty levels)	39.6% (811)	
20 - < 30%	24.3% (498)	
10 - < 20%	22.8% (467)	
0 – < 10% (low poverty levels)	13.2% (270)	

Health

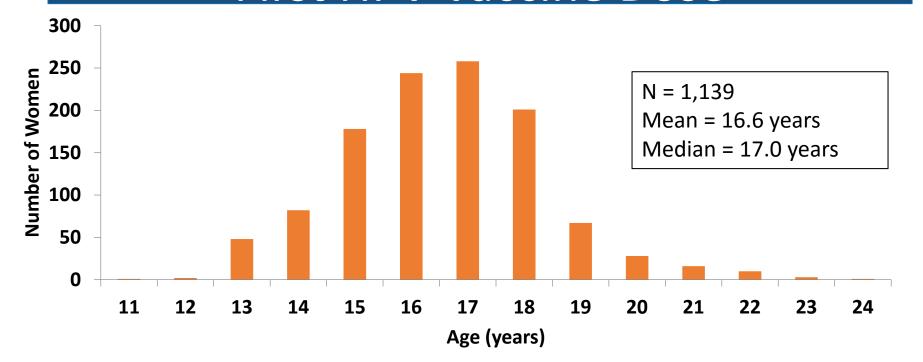
^{*}Based on a geocoded dataset with 2046 observations (96% of final analytic set)

Patient Characteristics (2)

Total number of observations	2,132	
At least 1 dose HPV vaccine received, % (n)	53.4% (1,139)	
At least 2 doses HPV vaccine received, % (n)	32.6% (694)	
At least 3 dose HPV vaccine received, % (n)	20.9% (445)	
Patients with STI history, % (n)*	58.9% (1,256)	
Patients with genital warts history, % (n) ^t	4.7% (101)	

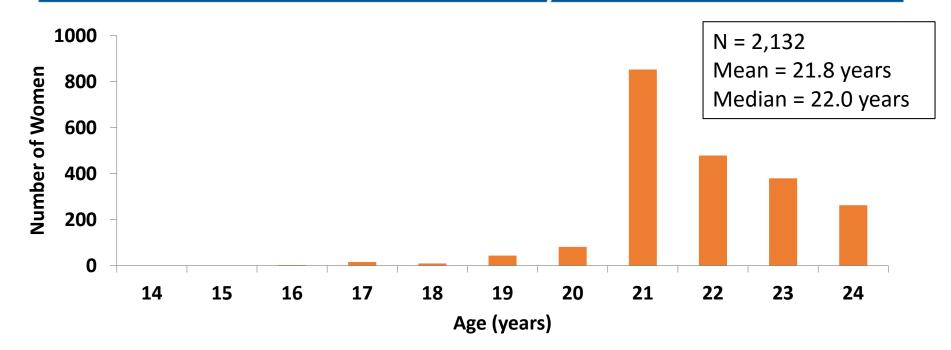
^{*}STI history includes clinic-diagnosed and self-reported diagnoses of Gonorrhea, Chlamydia, Syphilis, and HIV Ł Genital warts history includes clinic-diagnosed and self-reported diagnoses of genital warts

Age Distribution at First HPV Vaccine Dose



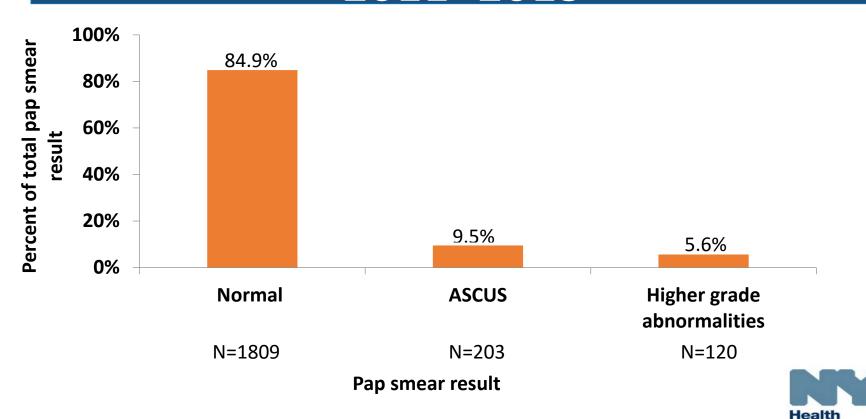


Age Distribution at First Pap Smear Performed at Clinic, 2011–2015

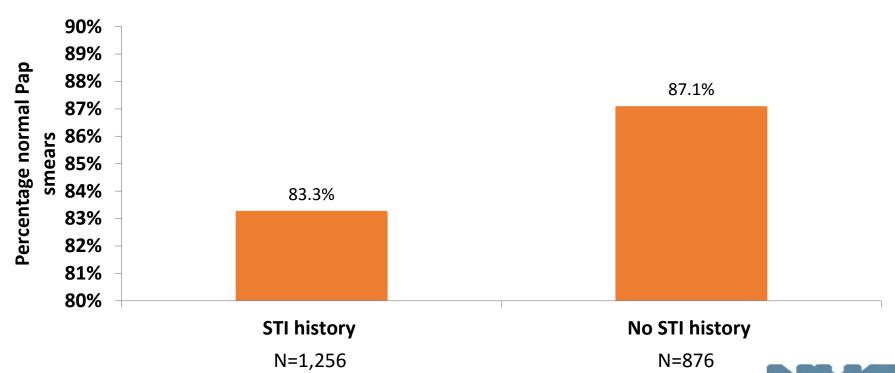




Frequency of Pap Smear Results, 2011–2015



Normal Pap Smear Result by STI History, 2011–2015*

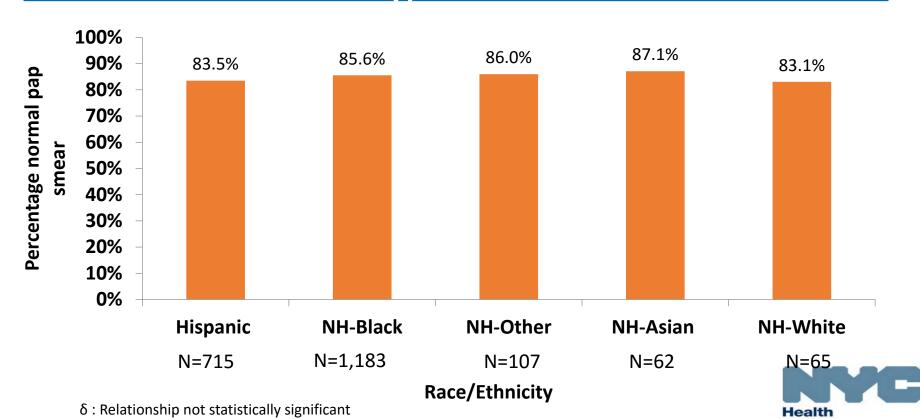


STI History

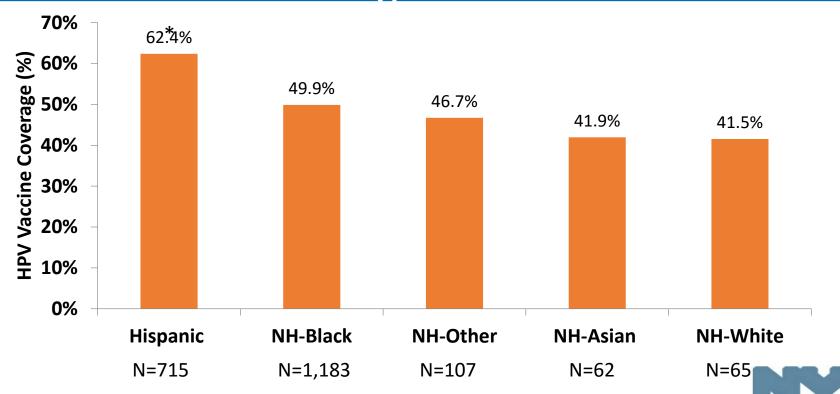
Health

* Relationship is statistically significant

Normal Pap Smear Result by Race/ Ethnicity, 2011–2015^δ



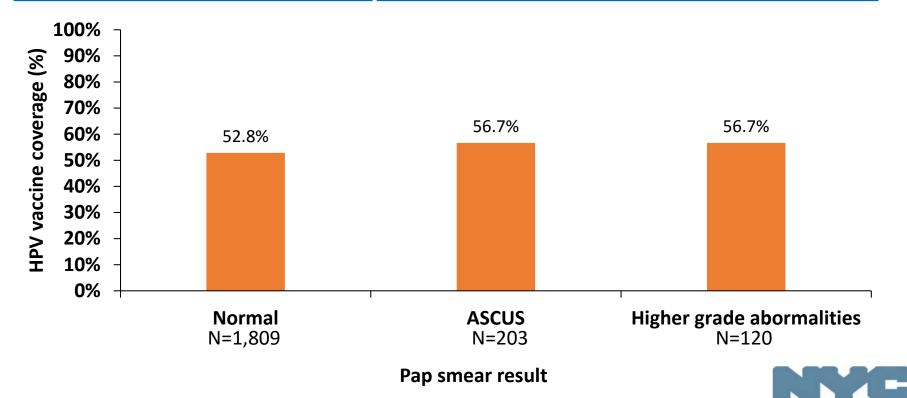
HPV Vaccine Coverage by Race/ Ethnicity, 2011-2015



Health

^{*} Statistically significant compared to all other racial/ethnic groups Race/Ethnicity

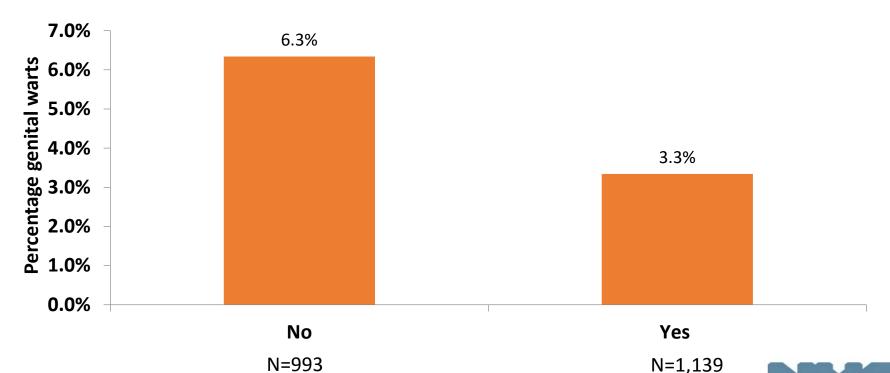
HPV Vaccine Coverage by Pap Smear Result, 2011–2015^δ



Health

 $\delta :$ Relationship not statistically significant

Genital Warts by HPV Vaccination Status, 2011-2015*



* Relationship is statistically significant

HPV vaccine administered

NYC Health

HPV Vaccine Effectiveness on Genital Warts

	+GW	- GW	Total	Relative Risk (RR)
+ HPV vax	38 a	1101 b	1139	0.03336
- HPV vax	63 c	930 d	993	0.06344

VE = 1 - Risk Ratio

VE = 1 - 0.5258

VE = 0.4742

VE = 47.4% 95% C.I. (20.6, 65.2)



Limitations (1)

- Possible underestimation of HPV vaccine coverage due to incomplete CIR capture
 - Adolescent vaccines may not have been consistently captured by CIR in early years following 2005 adolescent vaccine mandated reporting
 - The reporting of adult immunizations to the CIR is not mandated and requires patient consent
 - Women receiving care at Sexual Health Clinics may have received vaccines out of jurisdiction
- Exposure to virus may have preceded HPV vaccine initiation

Limitations (2)

- Study population may not have had the opportunity to be vaccinated due to:
 - Lag time between vaccine licensure and implementation
 - Vaccine campaign's focus on younger age group
- No follow-up data available for abnormal Pap smears
 - They are currently referred to outside facilities
 - There is no surveillance system for abnormal pap smear results in NYC
- Not generalizable to NYC female population



Conclusions



Conclusions

- In this pilot study, vaccination was effective in preventing genital warts
- No relationship was found between HPV vaccine and Pap smear results in this pilot
- Linking 2 DOHMH data systems is a useful tool to evaluate vaccine effectiveness
- Recommend repeating analysis at a future date to include cohort of women who had more of an opportunity to be vaccinated

Acknowledgements

- Kathleen Blaney
- Iris Cheng
- Preston Garnes
- Charon Gwynn
- Kelly Jamison
- Ram Koppaka
- Andrea Lyman

- Vikki Papadouka
- Leena Patel
- Preeti Pathela
- Julia Schillinger
- Monica Sull
- Alex Ternier
- Jane Zucker



Thanks

The work of the Cancer Prevention and Control Track Resident was partially supported by the American Cancer Society's Physician Training Award in Cancer Prevention.

