

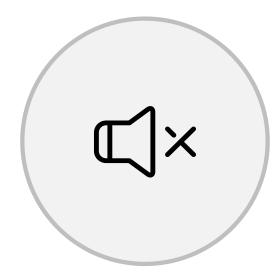


# Town Hall on Proposed Submission & Acknowledgment Validation Update

July 7, 2020

3:00pm-4:00pm ET

## Welcome

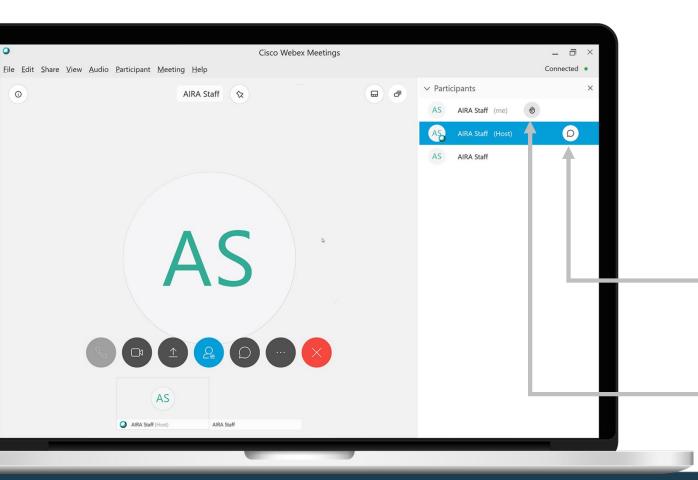


All phone lines are muted



This meeting is being recorded and will be posted on the AIRA repository

#### Welcome



How do I ask a question?

- There will be time allotted for Q&A following the presentation, to unmute your line press \*6
- Via WebEx:

(II)

Select the chat icon next to the host and type question into the chat box.

Select the hand icon next to your name and you will be called on.

## Agenda



Welcome – Kevin Snow, Co-Chair, MACAW



**Background and Context – Kevin Snow, Co-Chair, MACAW** 



Acknowledgment (ACK) Processing Rules- Eric Larson, AIRA



Submission & Acknowledgment Validation—Eric Larson, AIRA



Questions/Discussion/Open Forum – All



Wrap Up – Kevin Snow, Co-Chair, MACAW



#### Timeline

June 2020

• 6/29/2020 - Community comment period began

July 2020

- 7/7/2020 (Today) Town Hall
- 7/17/2020 Community comment period ends

August 2020

• 8/6/2020 - Resolve community comments with MACAW

Q1 2021

• Q1, 2021 - New measures included in Submission & Acknowledgment Validation

## Background and Context

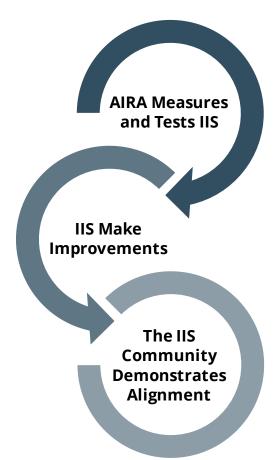
Kevin Snow, MACAW Co-Chair



## Measurement and Improvement Initiative – What Is it?

MEASUREMENT& IMPROVEMENT

- The IIS Measurement and Improvement (M&I) Initiative began in 2015 as an effort to:
  - Test IIS alignment with standards
  - Provide IIS with information and assistance to improve
- All testing is conducted by AIRA staff, in large part through:
  - Connecting with test or pre-production systems
  - Submitting test messages
  - Analyzing returned messages



#### Who is Supporting This Process Along with AIRA?

#### **MACAW**

The Measurement for Assessment and Certification Advisory Workgroup, made up of IIS, Immunization Program Managers IIS Vendors and Federal Partners

#### CDC

Centers for Disease Control and Prevention, our funders, supporters, and collaborators

#### **NIST**

National Institute of Standards and Technology, who collaborate with AIRA to develop tooling to support measurement

#### IIS and Imm Programs

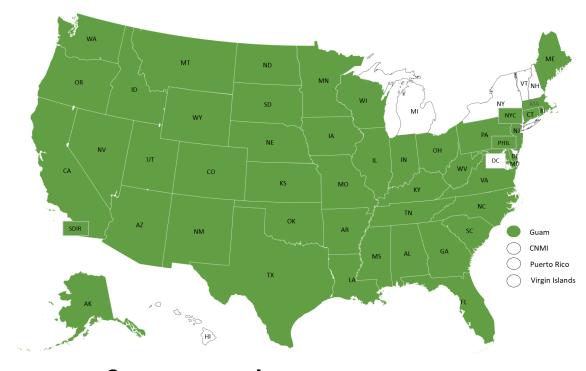
IIS and Immunization Programs do the heavy lifting of analysis, planning, funding and implementation

#### **IIS Vendors**

IIS Vendors support IIS and Immunization
Programs in planning and implementation

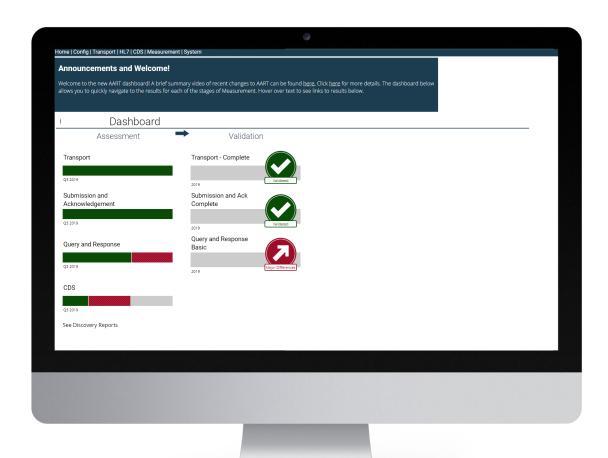
## Thank You For Engaging With Us!

- Participation is strong and results are being reviewed and acted upon
- Partners are publicly recognizing improvements in standardization across IIS



• We are seeing <u>progress</u> in all areas of quarterly measurement

## The AART Dashboard – Your Gateway To Data



#### Click to drill down to:

- Measure results and information
- Test case outcomes expected and actual
- Historical perspectives
- Results from your peers who have shared their data and information

## A Quick Reminder of the M&I Measure Development and Approval Process

#### **Measures Created**

MACAW Prioritizes, Discusses and Develops Measures



#### **Measures Shared**

Measures are Shared with IIS Community
For Review and Input



#### **Measures Refined, Updated**

Comments are Compiled, Measures Updated, MACAW Informs the AIRA Board

#### **Measures Implemented**

Testing occurs and results are available in AART

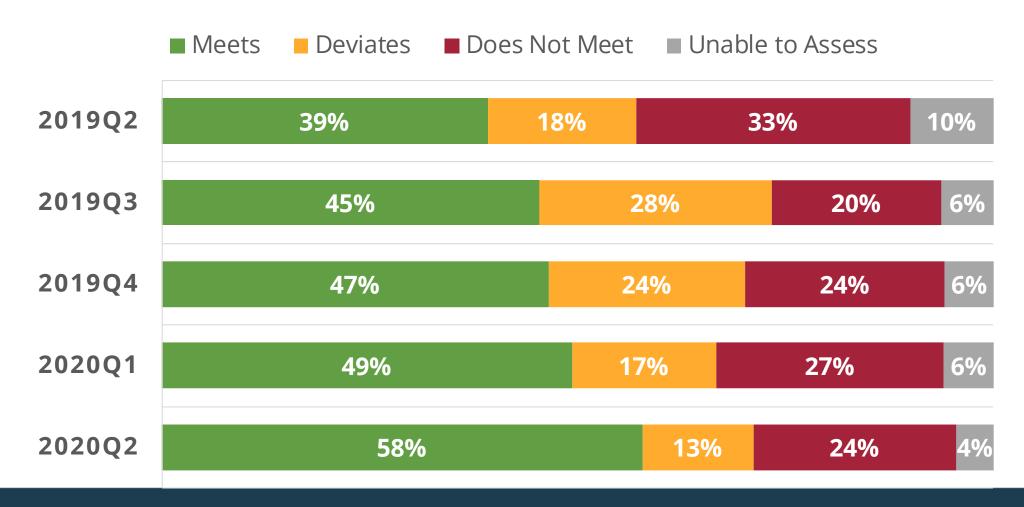




Content Area	Pre-Measurement	Testing & Discovery	Assessment	Validation		
Transport	Maintenance					
Submission/ Acknowledgement	Maintenance					
Query/Response	Maintenance					
CDS		Q2 2019	Q2 2019	Q2 2020		
Data at Rest		Q4 2020	Q2 2021	Q2 2022		
Incoming/Ongoing Data			Q2 2020	Q2 2021		

#### We're Seeing Quick Improvements!

Clinical Decision Support Progress Across Quarters



## Acknowledgment (ACK) Processing Rules

Eric Larson

## ACK Processing Rules

The logic AART uses to determine if the IIS accepted or rejected a message.

Further, if the IIS rejected a message (or provided a warning), AART uses this logic to determine what, where, and how severe the error was.

#### 2015 – ACK Guidance Document

Developed in SISC to further standardize the ACK message

Improves ACK to be meaningful and actionable for EHRs, pharmacies, clinicians, etc.

**Goal:** One standardized ACK message including meanings of key fields for all IIS



#### Guidance for HL7 ACK Messages to Support Interoperability

#### Background

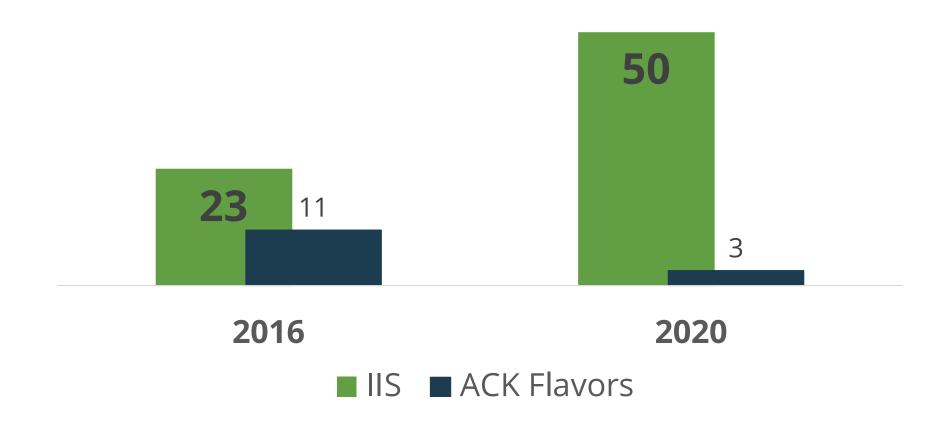
In summer 2015, The American Immunization Registry Association's (AIRA) interoperability testing project reviewed HL7 Acknowledgement Messages (ACK) from 21 different Immunization Information Systems (IIS) as part of a standards alignment effort. The analyzed ACK messages had considerable variation and limited alignment with the National Implementation Guide (IG). These discoveries were significant enough to warrant further guidance in an effort to improve ACK message conformance and consistency across the nation. Release 1.5 of the National HL7 Implementation Guide (IG) allows for a few ways to provide an ACK which conforms to the IG. This, in-turn, results in varied understanding and implementation the ACK messages. This guidance document seeks to clarify those issues in an effort to drive all IIS towards common, standardized ACK messaging. This is important not only to ultimately improve data quality, but because EHR vendors, and increasingly healthcare entities themselves, cross IIS jurisdictional boundaries, and need a single standard with which to comply. Further conformance clarifications will be needed in a future release of the National IG.

#### Scope of Guidance

#### In Scope

As documented in the National HL7 IG, the ACK message requires the use of one and only one Message

## Tremendous Progress!



## Moving Forward

- 3 currently connected IIS use 2 different ACK Flavors which are unique compared to the standardized approach.
- Q3 2020 Reach out to 3 IIS to inform future changes in M&I program
- Q2 2021 Move all IIS to the standardized ACK processing rules.
   Assessment will begin using the standardized processing rules
- Q1 2022 All IIS will be validated per the standardized ACK processing rules
- All newly connected IIS will be measured using the standardized ACK processing rules

# Submission & Acknowledgment Validation

Eric Larson



#### **Content Area**

**Transport** 

Submission / **Acknowledgment** 

**Query / Response** 

**CDS** 

**Data Quality** 







Testing & Discovery

Assessment

Assessment

Assessment

Assessment

Assessment

Validation

Validation

Validation

Validation



#### **Content Area**

**Transport** 

Submission / Acknowledgment

**Query / Response** 



- Introduced NDCs in Q4 2019
- Assessed in 3 quarters
- Proposed to be moved into Validation in 2021

#### NDC Measures

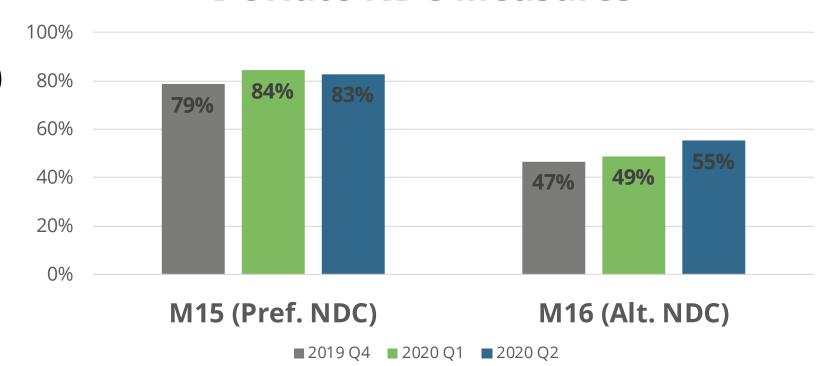
Measure	Measure Language	Notes
15	The IIS processes an administered vaccine using the <b>preferred</b> NDC format.	Tests Unit of Use and Unit of Sale NDCs in the preferred 11 digit with hyphen format (e.g., 49281-0860-10)
16	The IIS processes an administered vaccine using <b>two alternative</b> , but standardized NDC formats.	Tests Unit of Use and Unit of Sale NDCs in alternative – yet standardized – formats.  1) 11 digits without hyphens (e.g., 49281086010)  2) 10 digits with hyphens (e.g., 49281-860-10)

#### NDC Assessment Data

N = ~47 (varies slightly from quarter to quarter)

Graph includes
Meets or Deviates
outcomes (e.g.,
requires CVX)

#### Percent of IIS who Meet or Deviate NDC Measures



#### MACAW Proposal for Submission & Acknowledgment Validation (2021)

Assessment Measure	Basic Level	Complete Level
1 - The IIS processes an administered vaccine for a patient.		Х
2 - The IIS processes a historical vaccine for a patient.		Χ
3 - The IIS processes the submission of a full immunization record for a patient.		Χ
4 - The IIS processes an update to a previously submitted vaccination event.	Х	X
5 - The IIS processes a delete to a previously submitted vaccination event.	X	X
6 - The IIS processes a submission where the patient does not give consent (i.e., patient data is protected) to share data.	Х	X
7 - The IIS processes a refusal of a vaccination.		X
8 - The IIS processes an adverse event.		X
9 - The IIS processes an observation about a patient which results in a contraindication or immunity to a vaccine preventable disease.		Х
10 - The IIS processes messages with only minimally required data elements populated per the HL7 2.5.1, release 1.5 guide and addendum.		Х
11 - The IIS processes both complete and incomplete/partially administered doses.		Х
12 - The IIS responds to a correctly formatted message with no errors.		X
13 - The IIS responds to a submission that has an error.		X
14 - The IIS responds to a submission with an ACK within 5 seconds or less for 95% of the records		Х
15 - The IIS processes an administered vaccine using the preferred NDC format.	Х	Х
16 - The IIS processes an administered vaccine using alternative NDC formats.		Х

## IIS Volume Survey

Many of you received a questionnaire from AIRA a few months ago, requesting volume and interoperability data

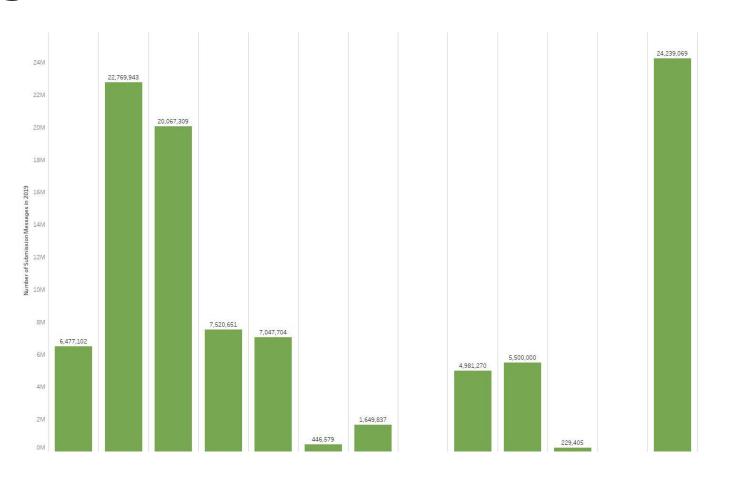
Thank you to those who have already responded

Thank you in advance to those additional sites we reached out to as we round out our sample

#### HL7 Submissions

Based on the current respondents, the average number of submission messages was **9,175,000** in 2019

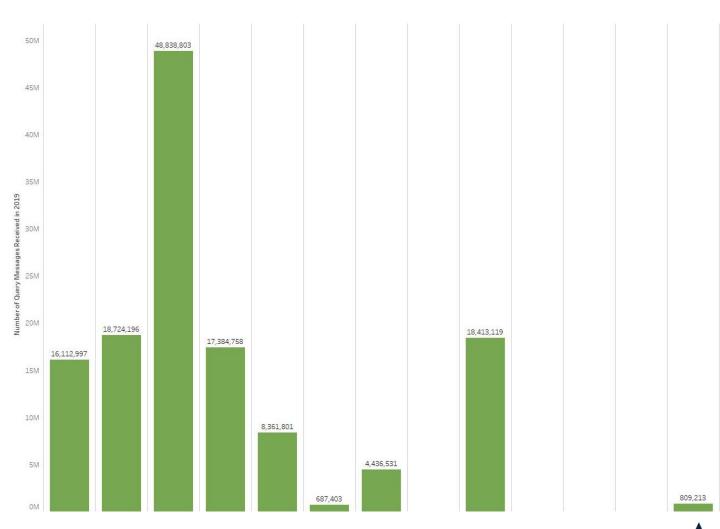
 Highest number reported by an IIS was over 24 million in 2019



#### HL7 Queries

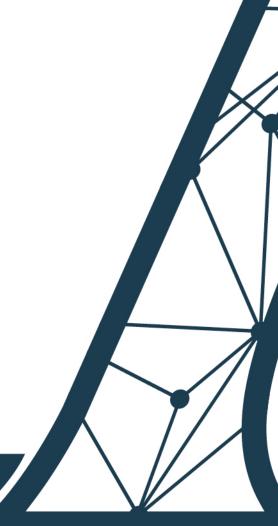
Based on the current respondents, the average number of query messages was **14,863,202** in 2019

- Highest number reported by an IIS was almost 49 million in 2019
  - · 4 million/month
  - 136,000/day

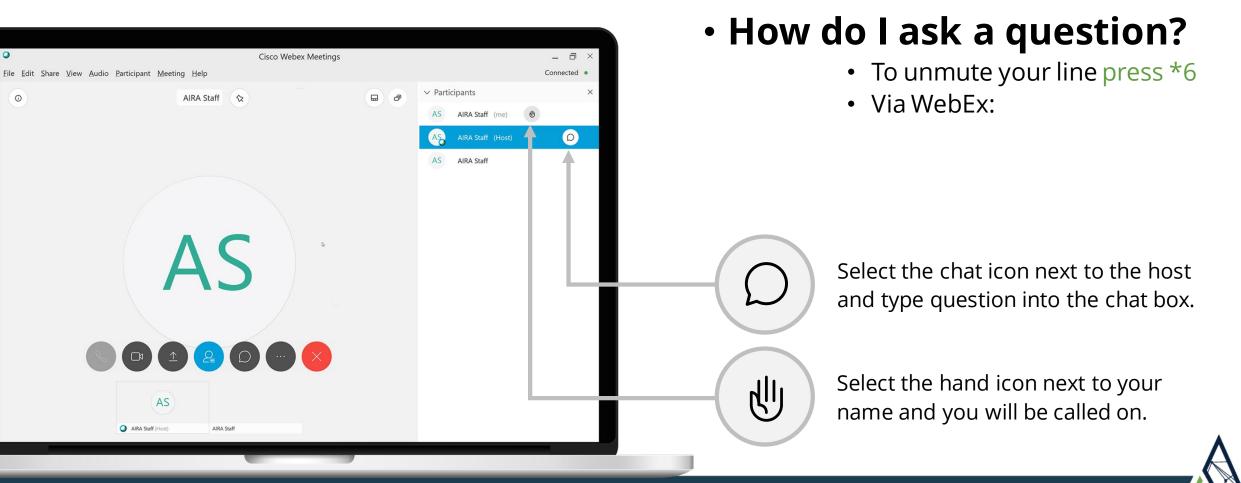


## Questions/Discussion Open Forum

All



## Questions, Comments, Discussion?



## Wrap Up/Next Steps

Kevin Snow



## Community Comments Due July 17th

- IIS Validation Measures and Test Submission Acknowledgment Document went out for community comment on June 29<sup>th</sup>.
- Written comments or questions can be sent to Kristi Siahaya at ksiahaya@immregistries.org through July 17.
  - Please note the document is protected. Please provide feedback within the documents by adding comments.

Thank you for joining us, for your input, and for your continued improvement work!

Kevin Snow: ksnow@envisiontechnology.com

Eric Larson: elarson@immregistries.org