



Shots and Bytes: Boost Your IIS with Interoperability

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Session Overview

- Why is Interoperability important to IIS?
- Interoperability topic deep dive
- Review resources for additional learning
- Q&A Interoperability topics



Why Interoperability?



First a question...

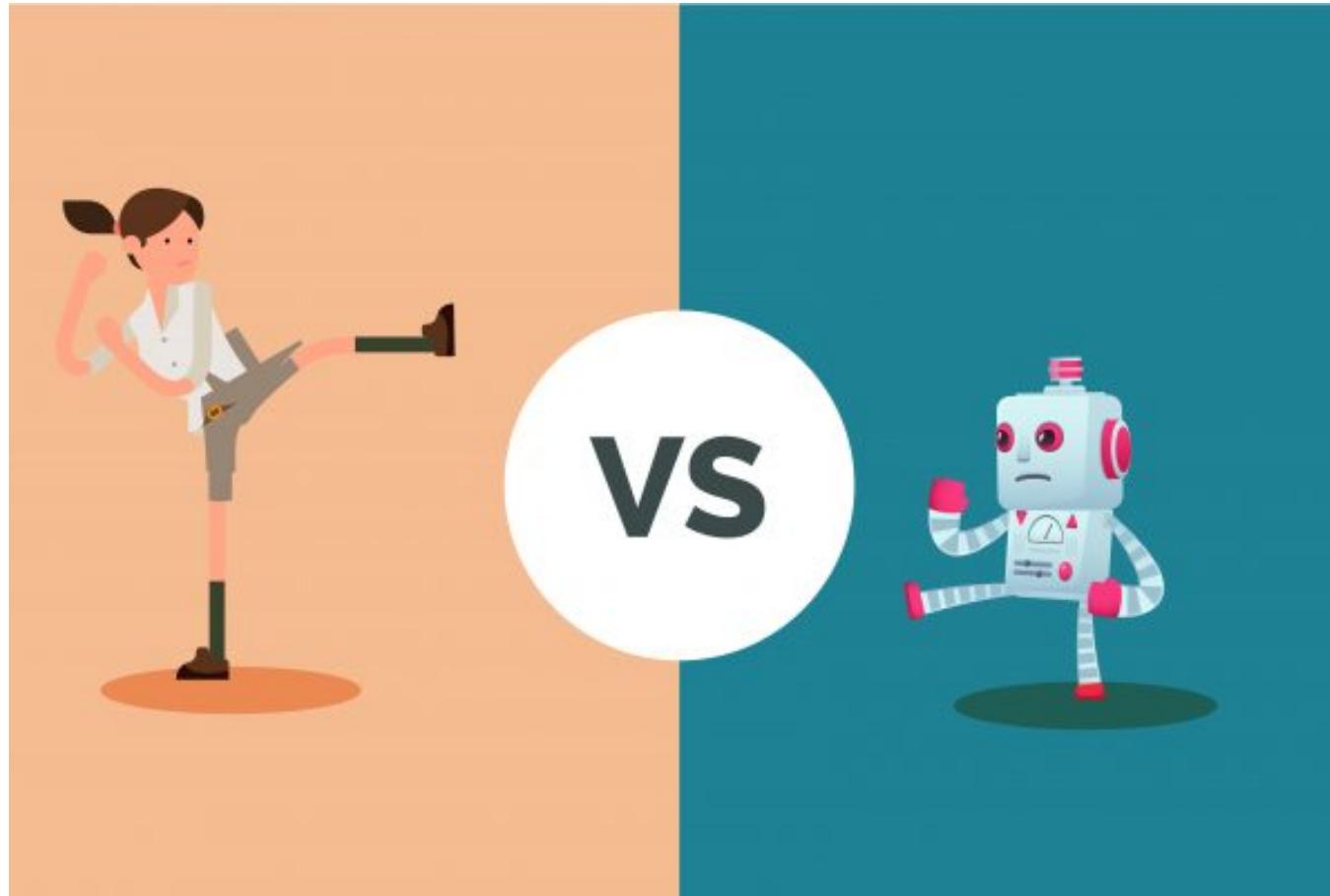
Imagine you are talking with a friend about your work, and they ask you

“What can an IIS do?”

What would an IIS be if it could not...

- Receive immunizations
- Send immunization histories and forecasts

Communication vs Interoperability



Interoperability vs Communication

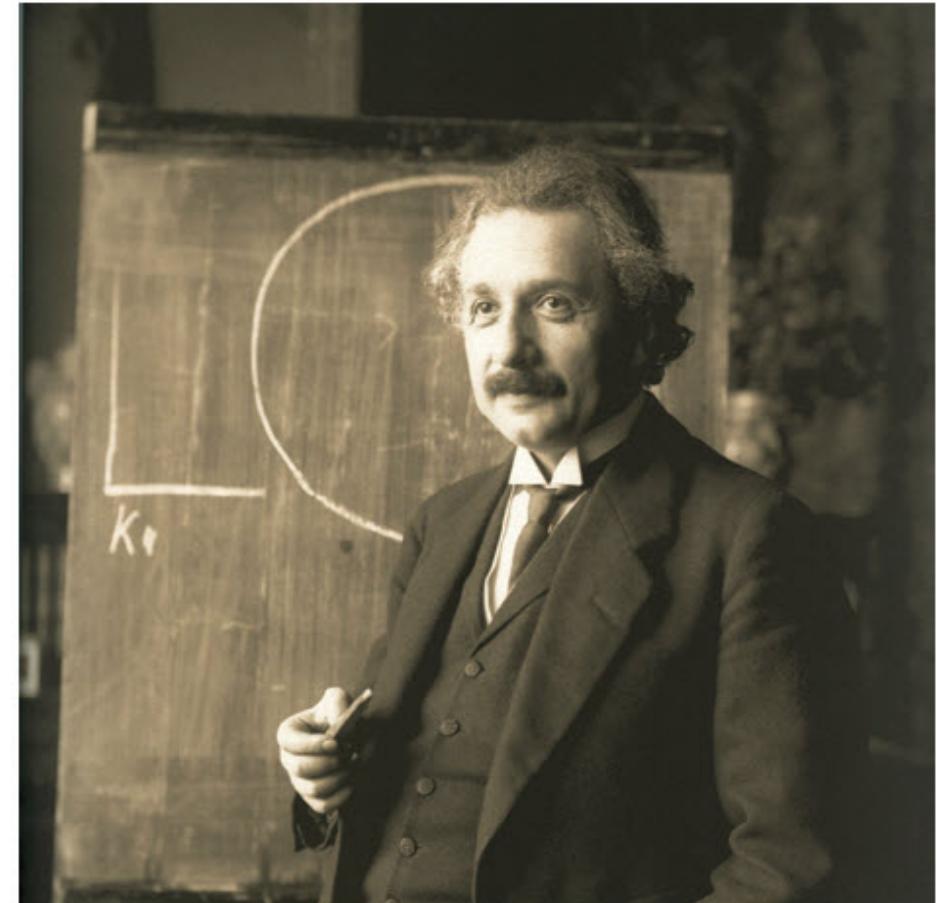
- **Interoperability**
 - the ability of computer systems or software to exchange and make use of information [Google Dictionary]
- **Communication**
 - the imparting or exchanging of information or news [Google Dictionary]

Is Good Communication Important?



Communication Needs Three Things

- Interested in $E = mc^2$?
- Want to talk to Einstein?
- What is needed to communicate?



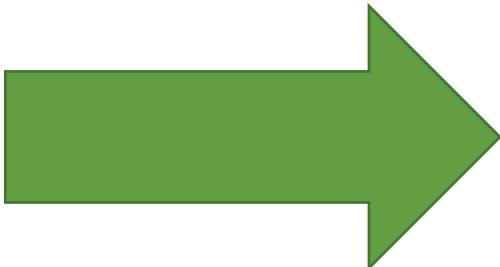
Place

Zurich Park Bench in 1905



Place

TARDIS



Zurich Park Bench in 1905



Language

- Sprechen Sie
Duetsch?

Einstein's 1905 Paper On the Electrodynamics of Moving bodies

3. *Zur Elektrodynamik bewegter Körper;* *von A. Einstein.*

Daß die Elektrodynamik Maxwells — wie dieselbe gegenwärtig aufgefaßt zu werden pflegt — in ihrer Anwendung auf bewegte Körper zu Asymmetrien führt, welche den Phänomenen nicht anzuhafte scheinen, ist bekannt. Man denke z. B. an die elektrodynamische Wechselwirkung zwischen einem Magneten und einem Leiter. Das beobachtbare Phänomen hängt hier nur ab von der Relativbewegung von Leiter und Magnet, während nach der üblichen Auffassung die beiden Fälle, daß der eine oder der andere dieser Körper der bewegte sei, streng voneinander zu trennen sind. Bewegt sich nämlich der Magnet und ruht der Leiter, so entsteht in der Umgebung des Magneten ein elektrisches Feld von gewissem Energiewerte, welches an

Vocabulary

- Elektrodynamik
- Maxwell's
- Körper
- Phänomen
- Wechselwirkung

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Vocabulary

- Elektrodynamik
Maxwells
 - Maxwell's
Electrodynamics
- Körper
 - Body
- Phänomen
 - Phenomenon
- Wechselwirkung
 - Interaction

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Thought Experiment

- Transport
 - US Mail
- Standard
 - English
- Vocabulary
 - Vaccine Concepts
- How would you create a computerized immunization registry in the 1960s?
 - No internet
 - No computerized networks
 - Data in type-written reports
 - Sent by USPS
 - Stored in a computer

Thought Experiment

Date: October 16, 1967
To: Immunization Bureau, State Department of Health
From: Dr. Smith's Office
Subject: Immunization Administered

Please be advised that today Dr. Julien Smith administered a smallpox vaccination to Frank Hardy, born April 5, 1951.



Thought Experiment

- Transport
 - US Mail
- Standard
 - English
- Vocabulary
 - Vaccine Concepts

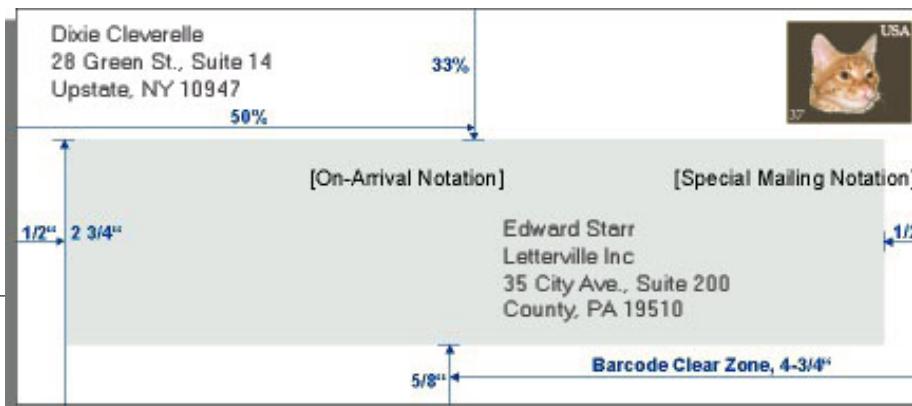
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US Postal Standards

Letter writing conventions

Standard layouts and spacing



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US Postal Standards

English Language

Letter writing conventions

Grammar Spelling

Standard layouts and spacing

Punctuation

Gregorian Calendar

Thought Experiment

- Transport
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Organizations

Clinician

Patient

Vaccination

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Thought Experiment

- Transport
 - ~~US Mail~~ **CDC WSDL**
- Standard
 - ~~English~~ **HL7**
- Vocabulary
 - ~~Vaccine Concepts~~ **CDC Code Sets**

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To: Immunization Bureau, State Department of Health
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Convert
to HL7

MSH|^~\&||Dr Julien Smith||Immunization Bureau|19671016
PID|||||Hardy^Frank||19510405
RXA|||19671016||75^Smallpox^CVX

Can you read this HL7 message?

```
MSH|^~\&||Dr Julien Smith||Immunization Bureau|19671016
PID|||||Hardy^Frank||19510405
RXA|||19671016||75^Smallpox^CVX
```

Interoperability Needs Three Things

- Transport (Place)
 - CDC WSDL
 - FTP
- Standard (Language)
 - HL7 v2.5.1
 - HL7 FHIR
- Vocabulary
 - CVX – Vaccinations
 - NDC – Vaccination Products
 - MVX – Manufacturers



Interoperability Deep Dive

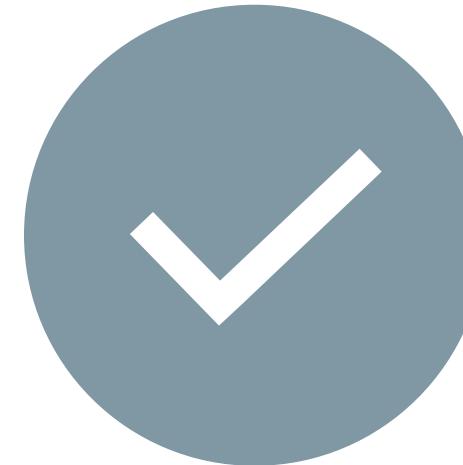
Lesson + Exercise



Pick a topic!



SECURITY CONCEPTS



PRINCIPLES OF
CONFORMANCE



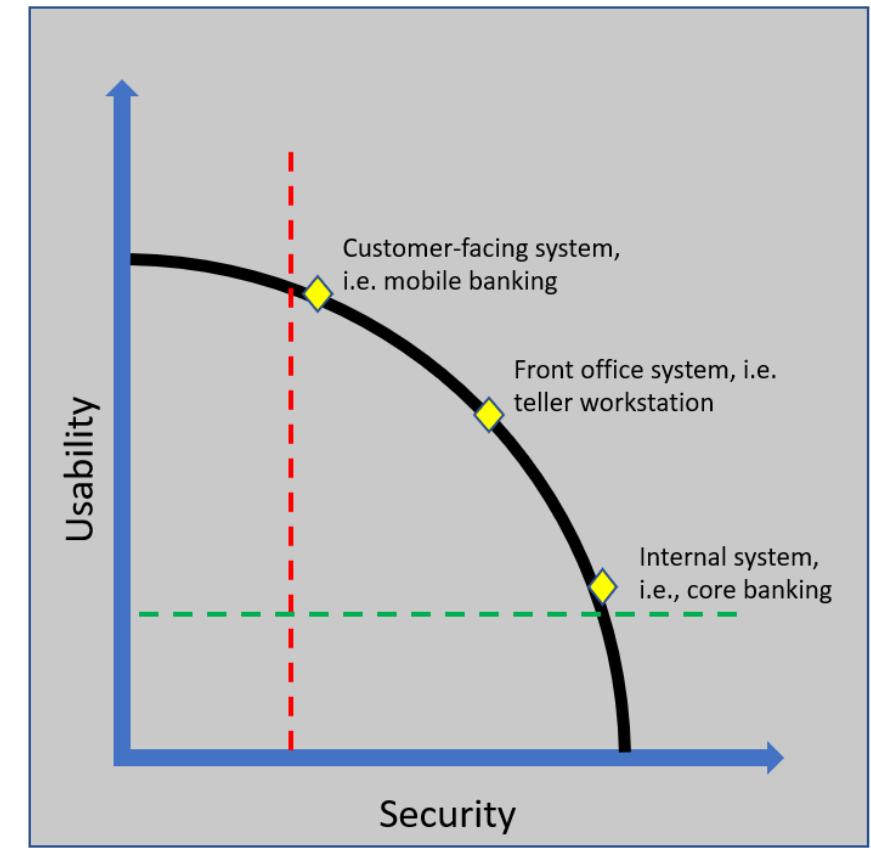
Security Concepts

Increasing Security



Security vs Usability vs Cost

- How do you secure:
 - \$1 bill
 - A house
 - \$1,000 in cash
 - \$800,000 gold bar
- You must balance
 - Usability
 - Security
 - Cost



Perfect Security is Impossible

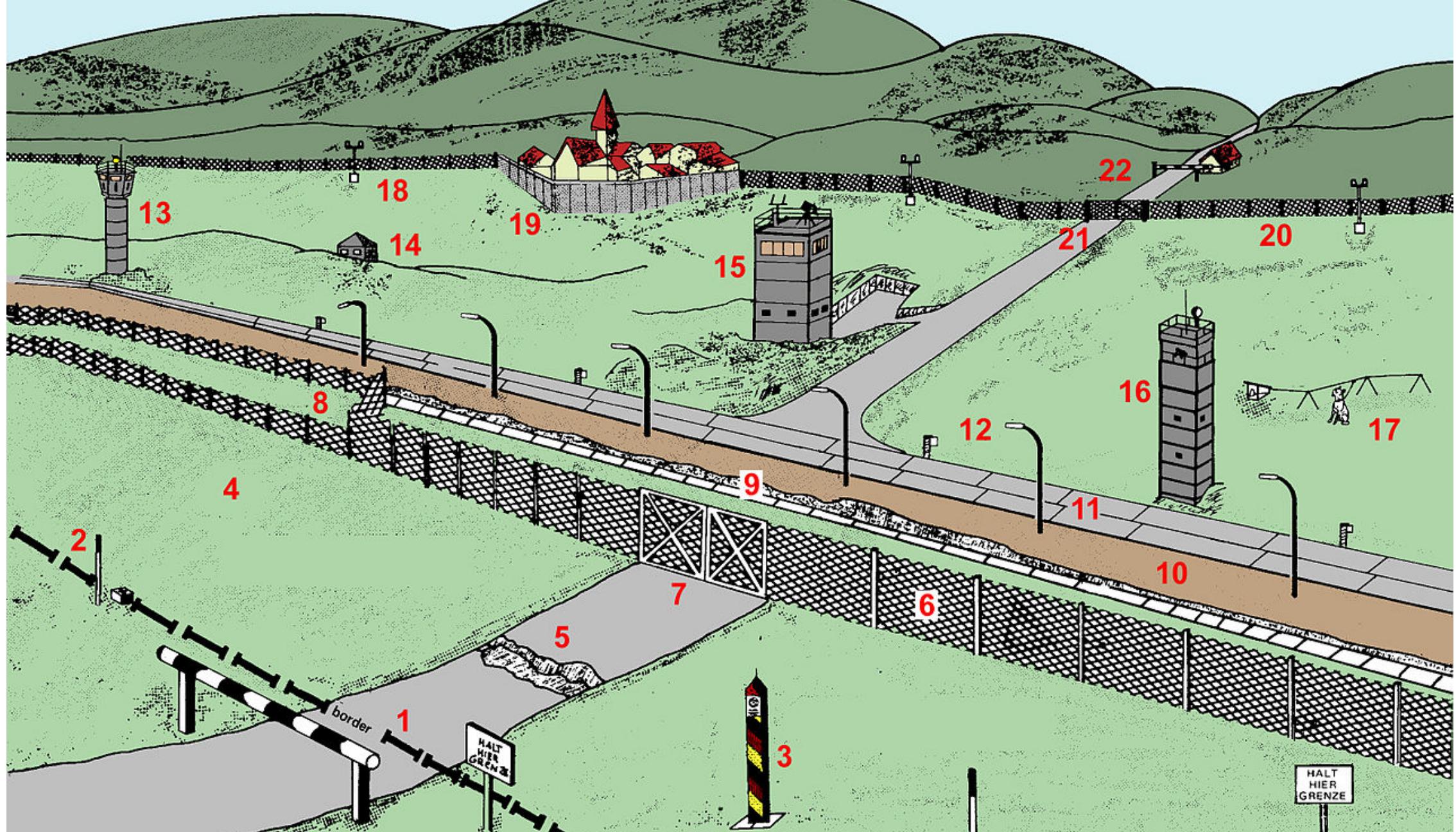
- Security threats can never be eliminated completely.
- Good security technology does not guarantee security.
 - Technology operates in a social context.



Over Focused on Snazzy Technology

- We often put too much faith in new technology.
- The English in Victorian England would pay high prices for “unpickable” locks.
 - Didn’t prevent thieves from breaking boxes.
 - People eventually figured out how to pick them.





What is the easiest solution?



Security vs Usability vs Cost

- Bad solutions
 - Focus on one aspect.
 - Ignore the other two.
- Good solutions
 - Consider all three aspects.
 - Arrive at reasonable solution for all three.
- Remember
 - Mitigation not elimination of security threat.



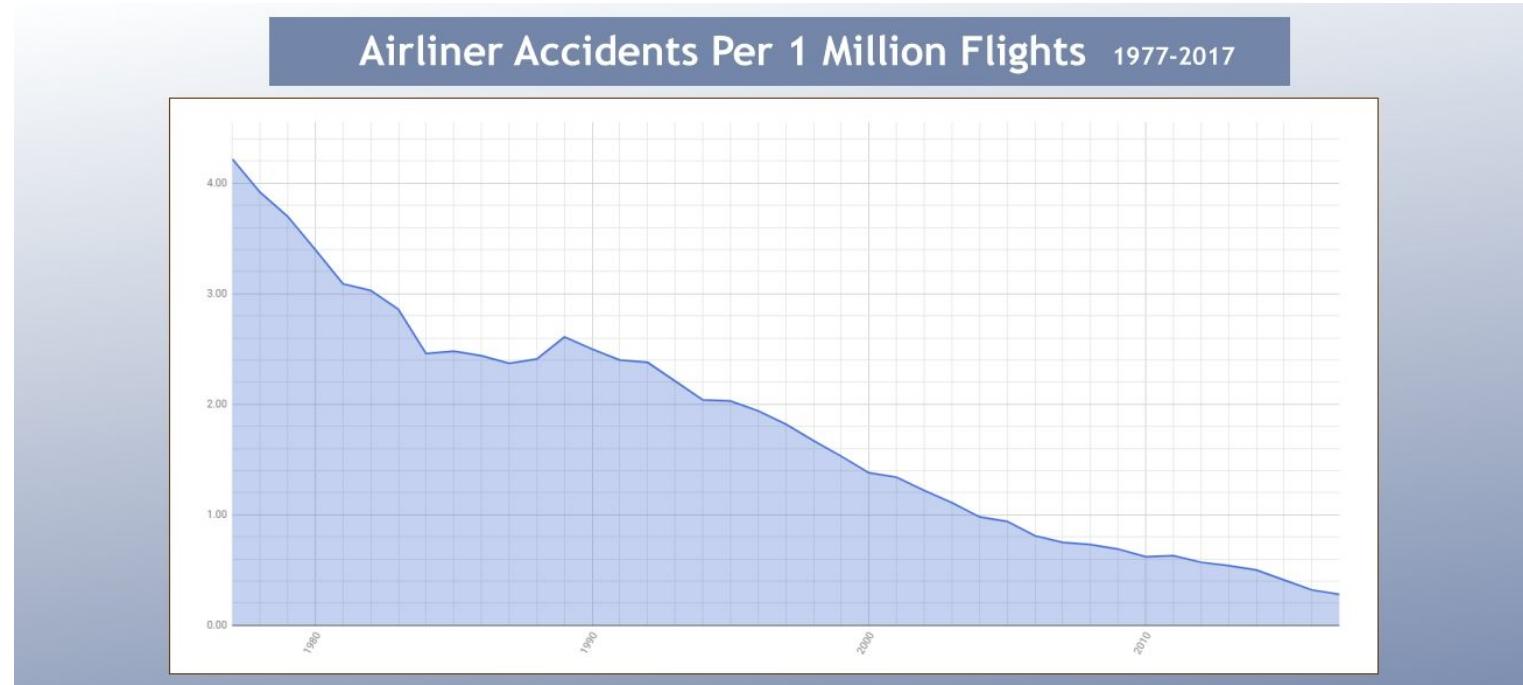
Two Factor Authentication

- Classic categories
 - Something you have
 - Something you are
 - Something you know
- Which are two factor?
 - Telling teller your account number and mother's maiden name
 - Showing the teller your driver's license
 - Showing the teller your bank account statement and electric bill



Reducing Plane Crashes

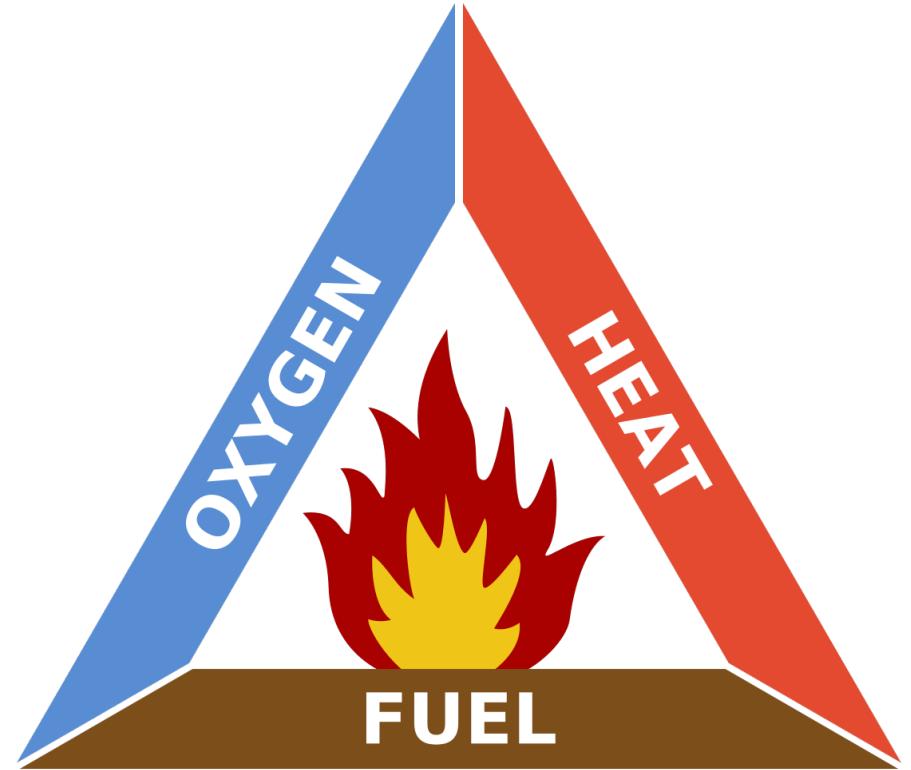
- Aviation is very safe today.
- Which factor was mitigated?
 - Pilot error
 - Mechanical failure
 - Bad weather



AviationSafetyNetwork

Two Factor Authentication

- Why look at more than one factor?
 - Reduce risks by a larger factor
- Pick two:
 - Reduce level of oxygen in the air
 - Conduct prescribed burns
 - Monitor for hot spots
- Which is better?
 - Budget 100% for one factor
 - Split budget between two factors



Two Factor Authentication

- How many factors?
 - CDC WSDL
 - Username, password, facility id
 - MSH-4, MSH-22
 - CDC WSDL + SSL Client Certificate
 - Username, password, facility id
 - SSL Client Certificate signed by State CA
 - CDC WSDL + Connecting from registered IP

Social Engineering

- Access to system required
 - Physical token
 - Username/Password for network
 - Username/Password for system
- How many factors?



Social Engineering

- Access to system required
 - Physical token
 - Username/Password for network
 - Username/Password for system
- User forgets passwords
 - Calls help desk to reset password
 - They have the key fob



Social Engineering

- Social hacks are usually the weakest link.
- Too much confidence in a technology solution blinds people to gaps.
- People find ways around rigid security rules so they can get their jobs done.



Social Engineering

- Scene
 - 1980's military office with computer
 - Phone on the desk
 - Computer modem
- Actors
 - Computer consultant “hacking” demo
- Target
 - Highly secure military mainframe



Social Engineering

- Hotel with vending machines.
 - Men in work clothes arrive to take machines out for maintenance.
 - Front desk staff hold doors open for them.
- Owner of hotel comes in later.
 - Where did the vending machines go?

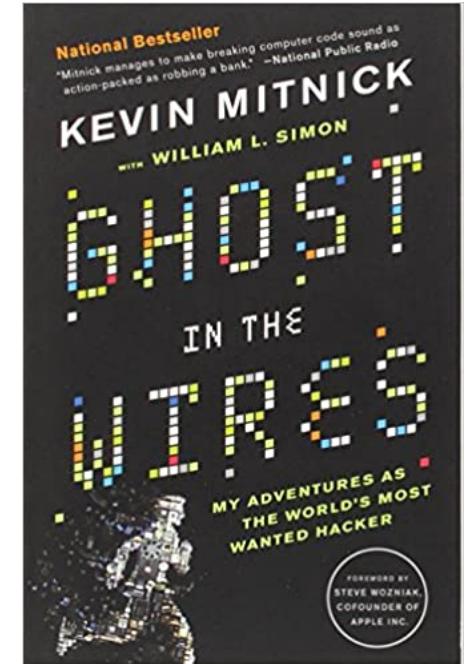


Social Engineering

- Focus on entire process, not on specific technologies.
- Pay close attention to usability at user level.
 - Don't force users to find ways to circumvent security measures.

Social Engineering

- Access to system required
 - Physical token
 - Username/Password for network
 - Changed every 90 days
 - Username/Password for system
 - Changed every 30 days
- How often did people need to reset passwords?
- What was the process for resetting the password?



Recommendation

Quiz Time!

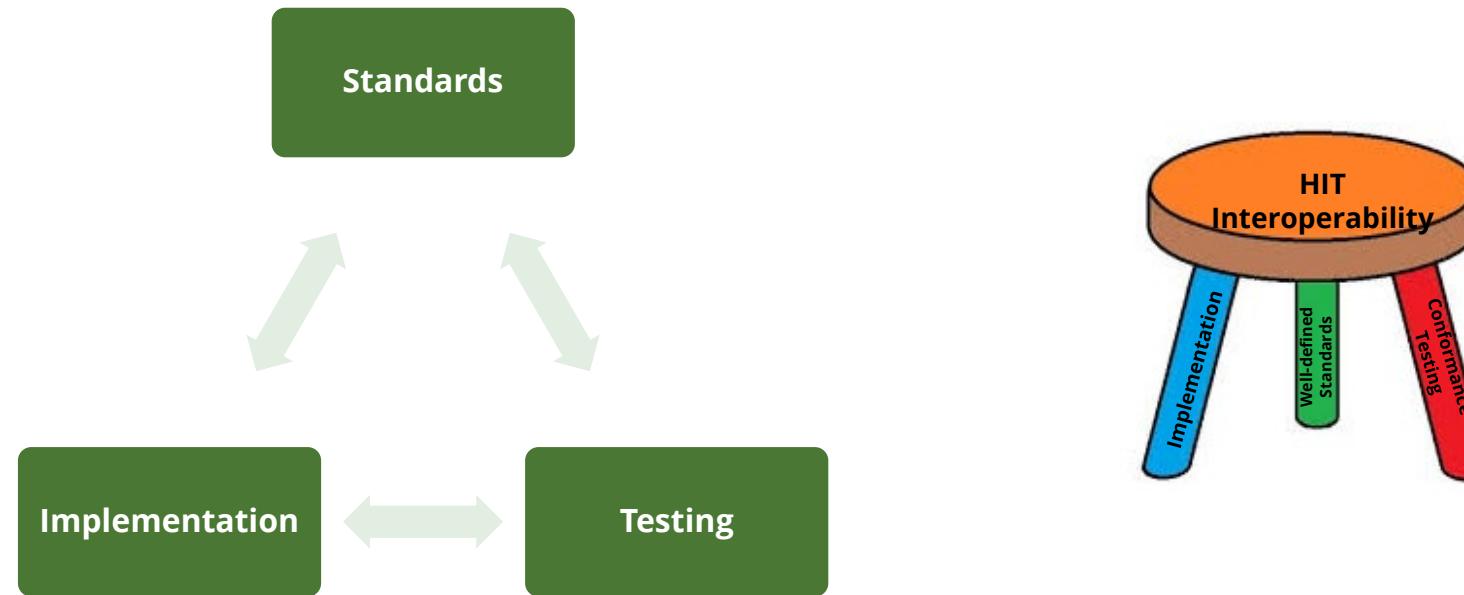
- Complete the quiz sheet with your table members
- First two teams with the most correct answers win prizes!



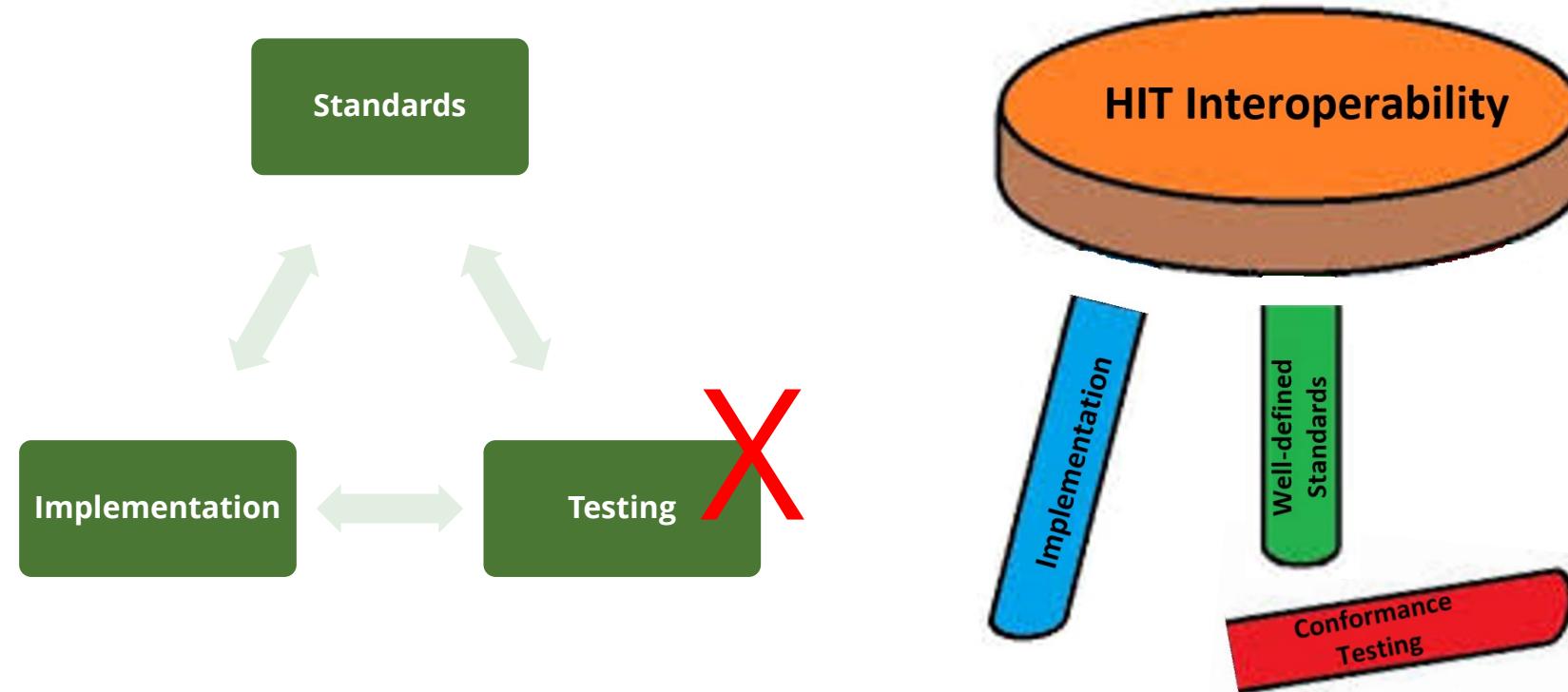
Principles of Conformance

Tools to support interoperability

Conformance Introduction

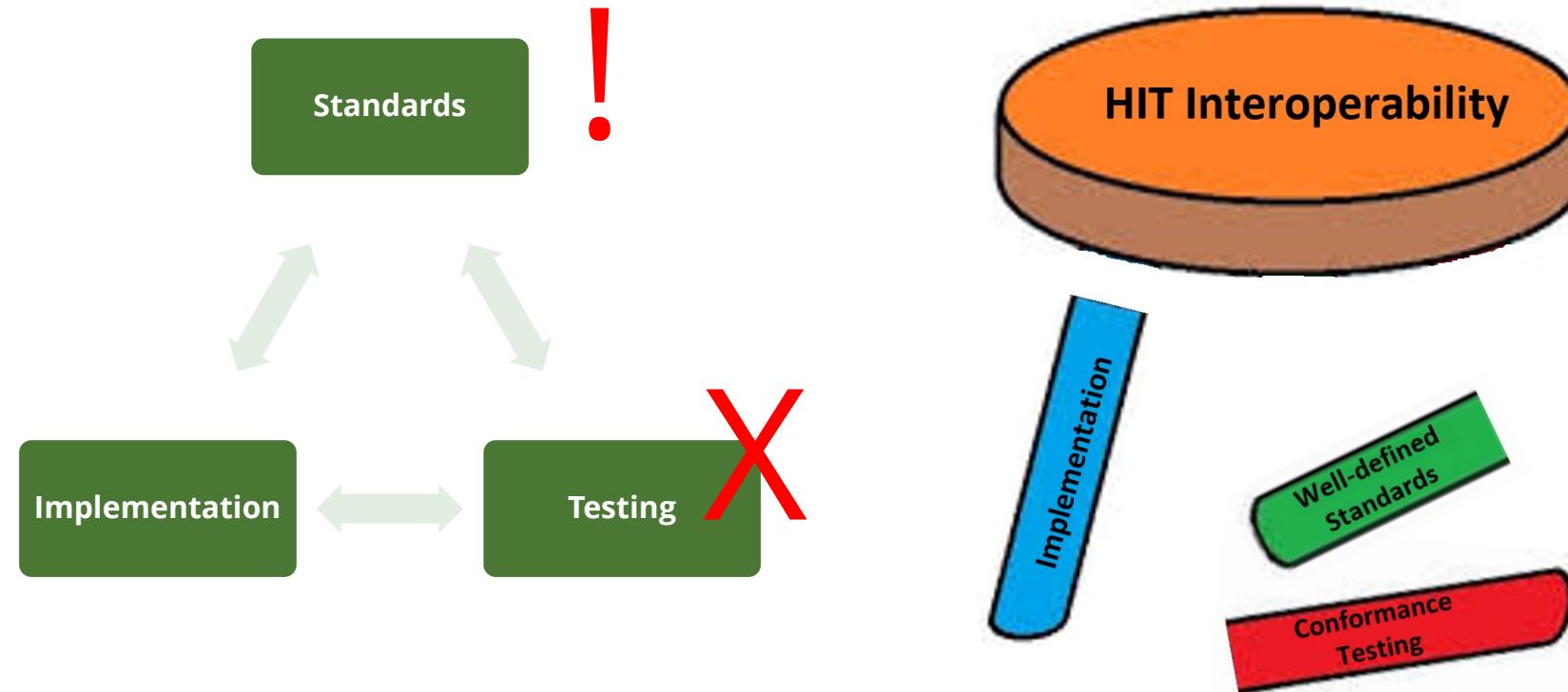


Immunization Community in early 2010s



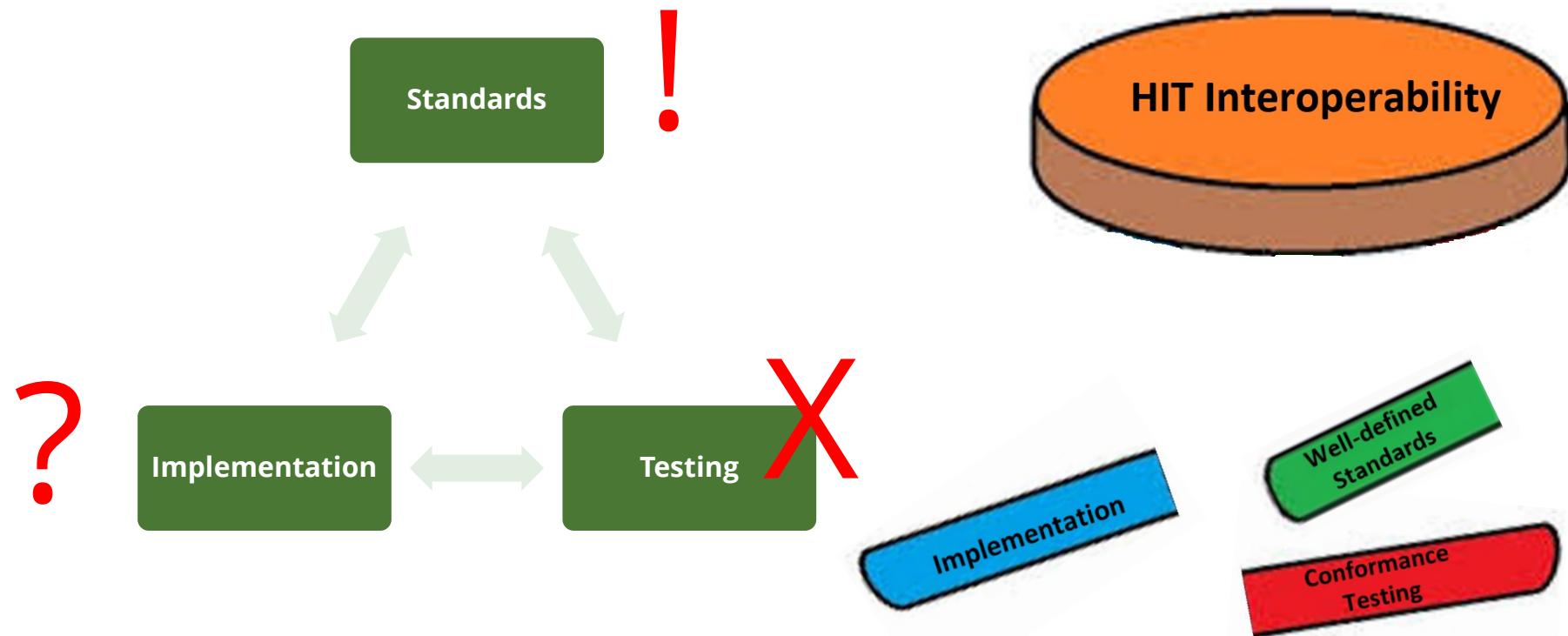
Problem: No testing available

Immunization Community in early 2010s



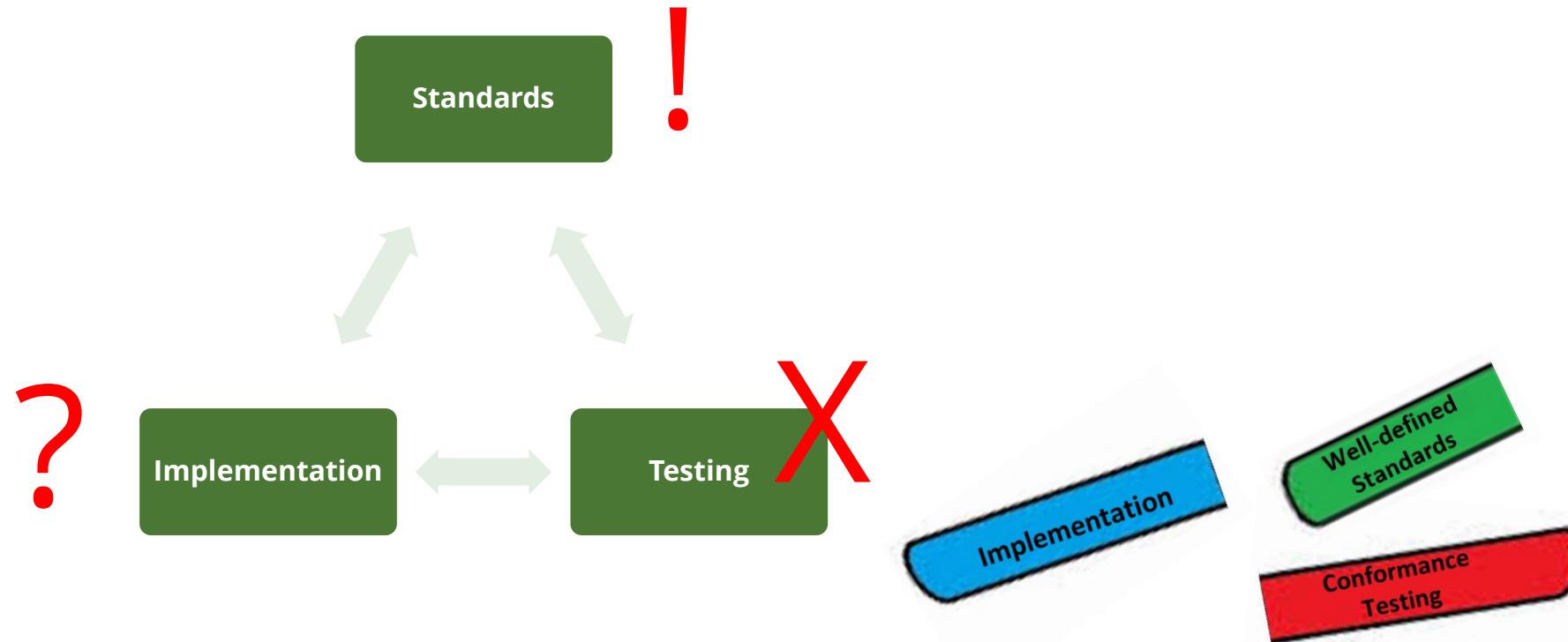
Problem: Standards unclear

Immunization Community in early 2010s



Problem: Software varied

Immunization Community in early 2010s



Major Interoperability Problems!

Lesson Learned

- Standard must include the following...
 - Written specification
 - Testing process
 - Software demonstrating standard



Perfect Works

Principle #1: Focus on Interoperability

Never forget that the final goal is for systems to exchange useful information, everything else serves that goal.

Example from Other Standards

Non-conformity should not prevent the exchange and processing of useful information



TCP implementations will follow a general principle of robustness: be conservative in what you do, be liberal in what you accept from others.

— *Jon Postel* —

Examples from HL7

- EHR supports HL7 v2.3.1 but not HL7 2.5.1
 - IIS would like to accept this data
 - Can the IIS accept this data?
- EHR support HL7 v2.5.1 but not correctly
 - Data is good, but some minor problems with message format
 - Can IIS accept this data?
- HIE has administered vaccination data
 - But some of it is missing lot numbers
 - Should the HIE still send it?
 - Can the IIS accept it?



Principle #2: Tell the Truth

Scenario:

- It's 7:35 in the morning
- You are at the bus stop
- Same people as every day
- You ask the guy next to you: What time is it?



Principle #2: Tell the Truth



- Option A
 - “It's 7:35”
 - Optimal answer





Principle #2: Tell the Truth

- Option B
 - “It’s 7:40”
 - Not ideal
 - Might cause you problems
 - Perhaps someone chimes in:
 - “Did you know your watch is off by 5 minutes?”
 - “It’s really 7:35”





Principle #2: Tell the Truth

- Option C
 - “Does anybody hear that voice?”
 - Awkward silence, stare at your phone, look away



Voice only Harold can hear: “Little did he know that this simple, seemingly, innocuous act would result in his imminent death.”
What?? Hey?? Hello!!! Why??, Why my death? Hello! Excuse me?
When??? How imminent!??



Principle #2: Tell the Truth

- Option D
 - “It’s 11:25”
 - “Are you sure?”
 - “Definitely”
 - “But I can see that you just wrote that on your wrist, it’s not the right time”
 - “Yes, it’s the right time”





Principle #2: Tell the Truth

- Option E
 - “I don’t know”
 - Thanks anyways

Your only choice is to listen to people as if what they are saying is true.



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Principle #2: Tell the Truth

- Key principle in communication
 - Functional requirement
 - Not just for ethics
 - Implicit contract between speaker/listener
 - Listeners must assume what speaker is saying is true (at least from speaker's perspective)



Quiz Time!

- Complete the quiz sheet with your table members
- First two teams with the most correct answers win prizes!



Additional Interoperability Resources



VXU Quicksheet

Feb 2021

Quick Sheet

VXU Message

Segments

MSH	Message Header
PID	Patient Identifier
PD1	Patient Demographic
NK1	Next of Kin
ORC	Order Request
RXA	Pharmacy/Treatment Administration
RXR	Pharmacy/Treatment Route
OBX	Observation Result

MSH Fields (selected)

MSH-4	Sending Facility
-------	------------------

NK1 Fields (selected)

NK1-2	Name XPN
NK1-3	Relationship
NK1-4	Address XAD
NK1-5	Phone Number XTN

ORC Fields (selected)

ORC-2	Placer Order Number
ORC-3	Filler Order Number
ORC-10	Entered By XCN
ORC-12	Ordering Provider XCN
ORC-17	Entering Organization

OBX-3 LOINC (selected)

64994-7	Vaccine funding program eligibility category
30956-7	Vaccine funding source
69764-9	Document type (for VIS)
29768-9	Date Vaccine Information Statement Published
29769-7	Date Vaccine Information Statement Presented

CX Composite ID

.1	ID Number
.4	Assigning Authority
5	Identifier Type Code

Interop Resources Quicksheet

Interoperability Resource List

AIRA

- **Resource Repository:** <https://repository.immregistries.org/>
 - Searchable repository of guidance documents, presentations, webinar recordings on a variety of topics.
- **Standards & Interoperability Steering Committee:** <https://www.immregistries.org/standards-interoperability-steering-committee>
 - IIS community-based technical support and guidance development.
- **Training Videos:** <https://www.immregistries.org/training-videos>
 - Technical assistance videos that explain basic concepts about transport and interoperability.
- **Aggregate Analysis Reporting Tool (AART):** <https://app.immregistries.org/aart/home>
 - Test IIS interoperability against community-driven measures and test related to the IIS Functional Standards.
- **Technical Assistance:** <https://www.immregistries.org/request-technical-assistance>

AIRA Interoperability Course Objectives

- Effectively onboard providers
- Troubleshoot connectivity and submission issues
- Improve quality of data submitted

AIRA Interoperability Course – Beta

- Conducted in Spring 2021
- 13-week long course with 26 classes
- 36 participants attended over 80% of classes



Program_Analyst
Interop_Manager
Informatics_Analyst
Cust_Engagement_Mgr
Interop_Specialist
Registry_Specialist
Data_Exchange
Data_Quality
Interop_Implementer
Analyst
Onboarding_Specialist
IIS_Manager
Interface_Specialist
Sr_Interop_Cons
Data_Validator

Next Interop Course Edition

- Fall 2021!
- Email adiallo@immregistries.org if you are interested



Question and Answer



What questions do you have on Interoperability?



Thank You!

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adiallo@immregistries.org

